

# Approximate DBSCAN under Differential Privacy

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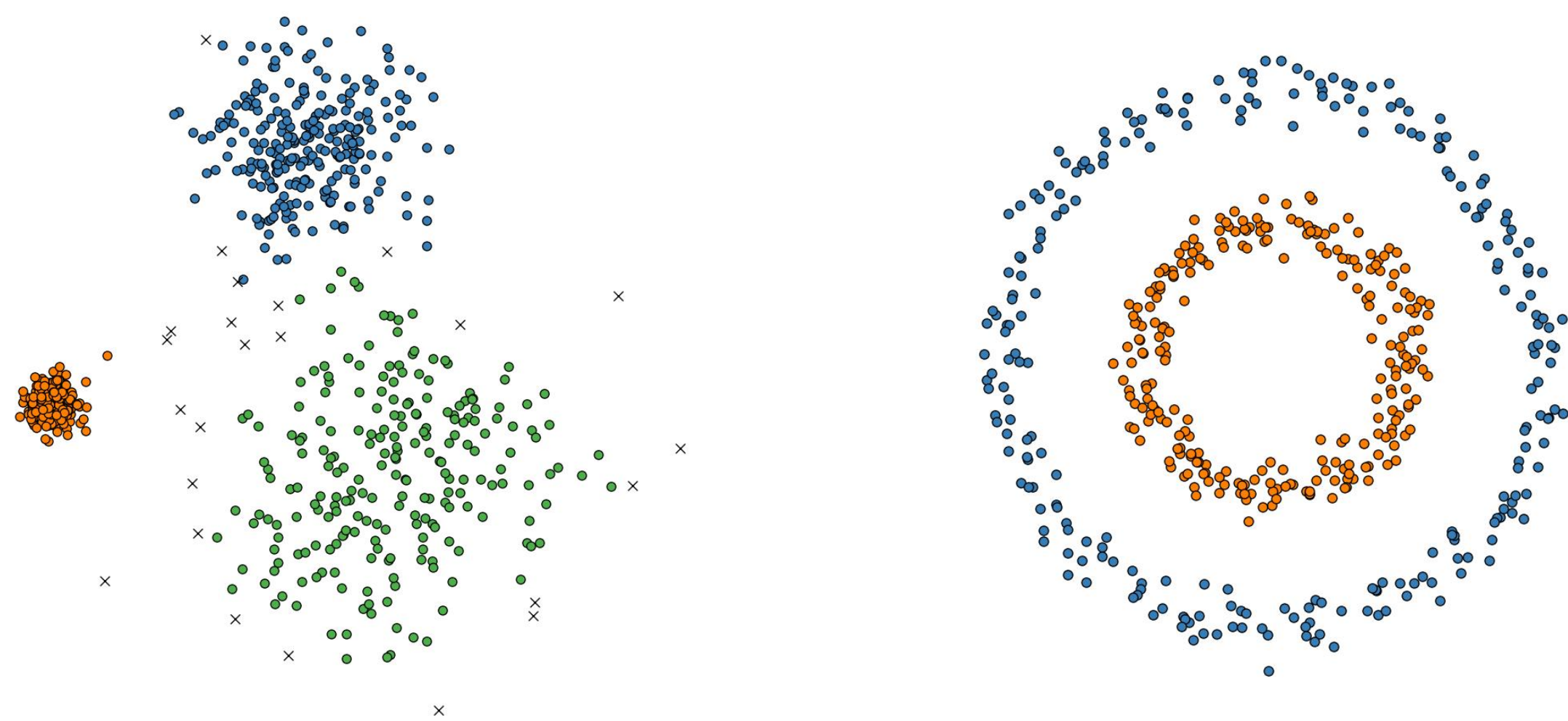
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## Problem Definition

**DBSCAN( $\alpha$ , MinPts):**

- $p$  is a **core point** if  $B(p, \alpha)$  contains at least MinPts points
- $p$  and  $q$  are **reachable** if  $\text{dist}(p, q) < \alpha$
- $p$  and  $q$  are **connected** if they are directly or transitively reachable
- A **cluster** is a maximal set of mutually connected core points

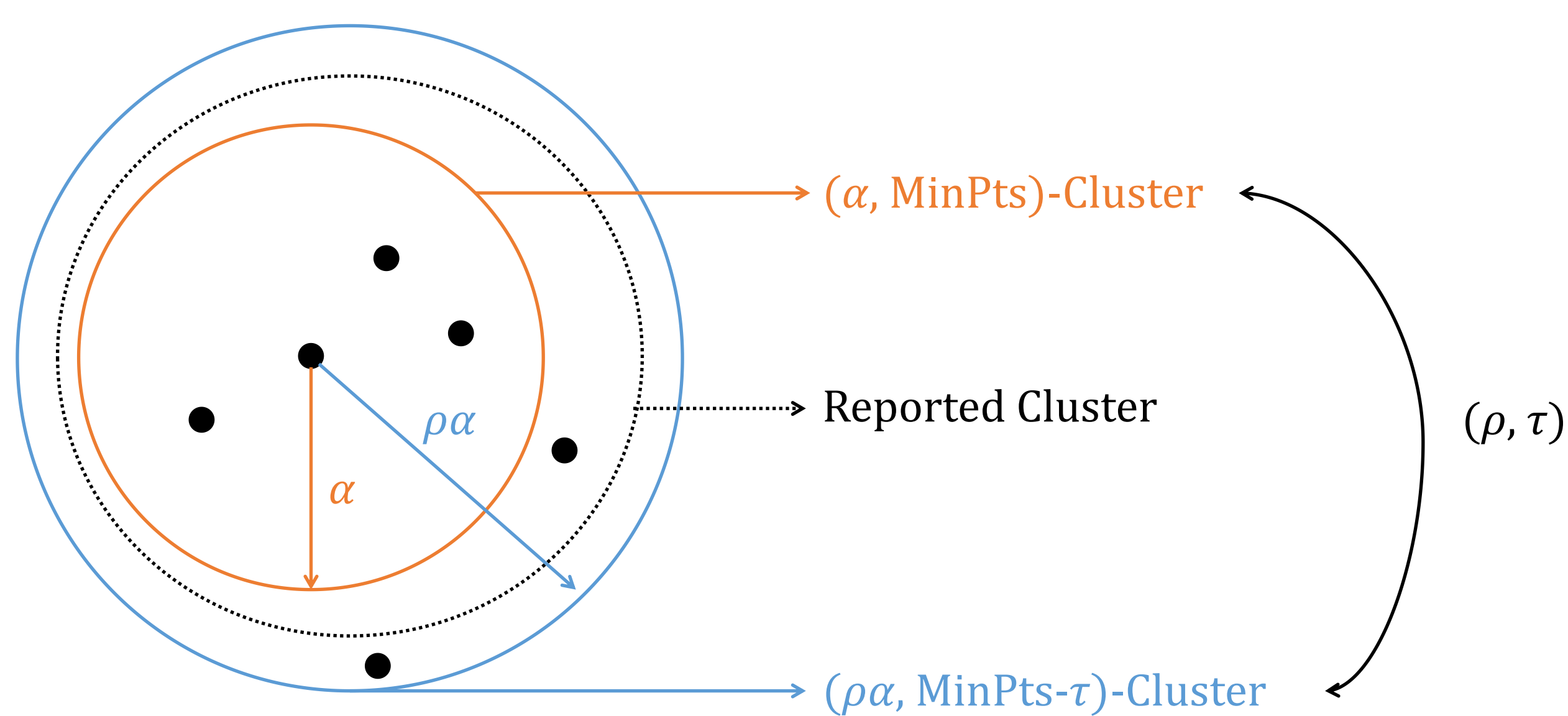


**Differential Privacy( $\epsilon$ ,  $\delta$ ):**

- For any pair of neighboring datasets  $P \sim P'$  and any subset of outputs  $O \subseteq \mathcal{O}$ , we should have

$$\Pr[\mathcal{M}(P) \in O] \leq e^\epsilon \cdot \Pr[\mathcal{M}(P') \in O] + \delta$$

## Approximate DBSCAN [Gan and Tao '15]



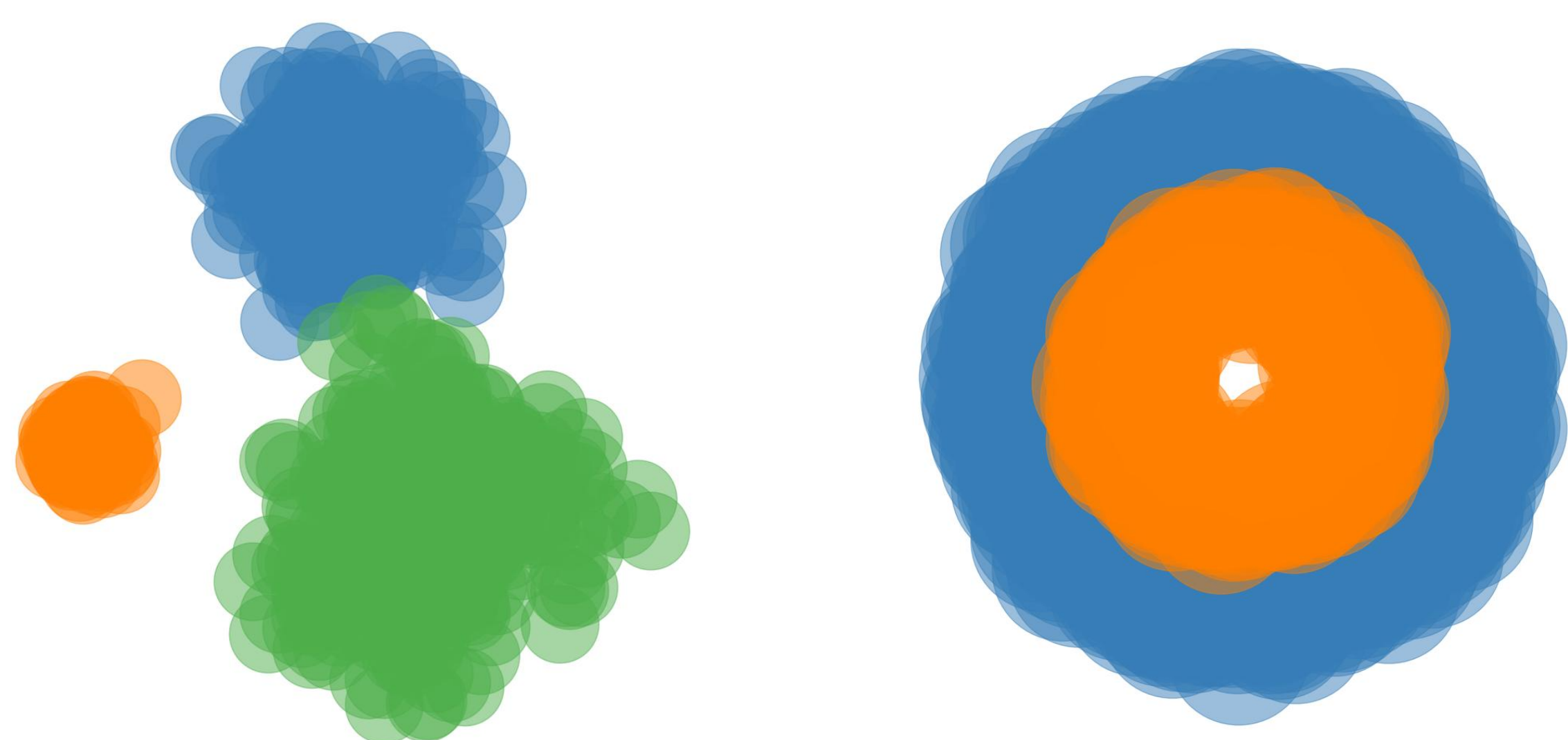
## Approximate Cluster Spans

**Negative Result 1:**

- If an  $\epsilon$ -DP mechanism (that outputs core points) is always  $(\rho, \tau)$ -accurate with probability  $1 - \beta$ , then  $\beta \geq n/(n + e^\epsilon) \approx 1$

**New Approximation: Spans of Clusters**

- Informally, the span is the union of  $\alpha$ -neighborhood of core points

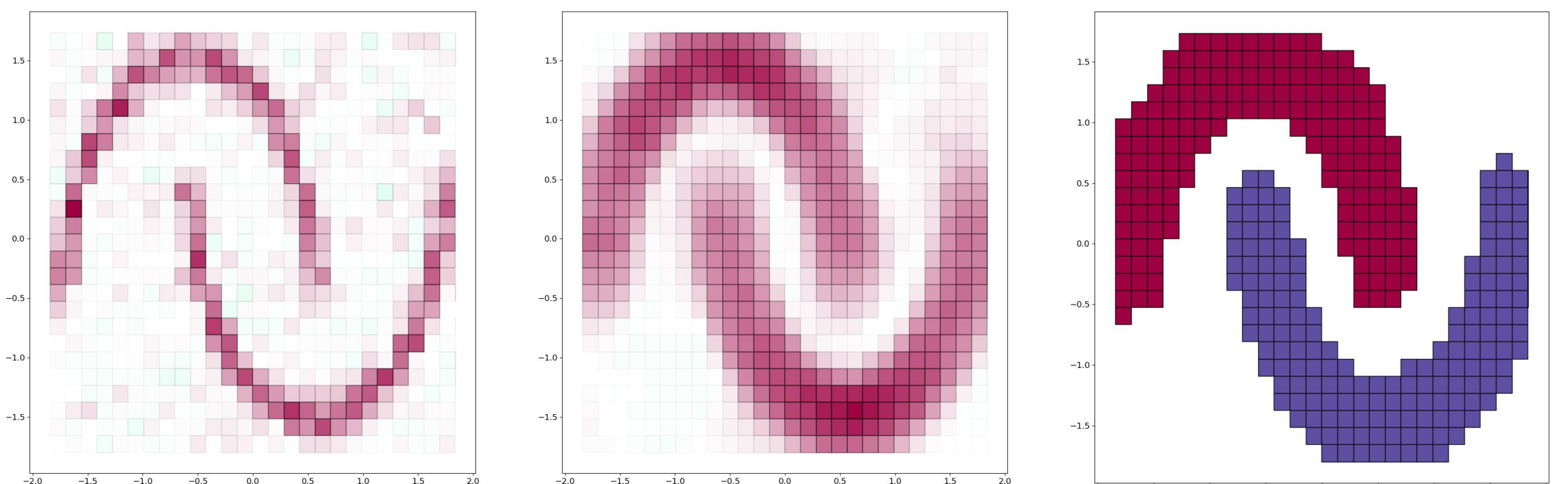


**Negative Result 2 (Approximation lower bound):**

- If an  $\epsilon$ -DP mechanism (that outputs spans) is always  $(\rho, \tau)$ -accurate with probability 0.9, then  $\rho \geq 3$  and  $\tau = \Omega(\frac{1}{\epsilon} \log \frac{1}{\rho\alpha})$

## DP Approximate DBSCAN

- Partition the space into cells of width  $w \propto \alpha/\sqrt{d}$
- Release a DP histogram for the cell counts
- Post-process the histogram by computing neighbor sums and finding core cells
- Merge adjacent core cells and report approximate spans



**Utility Guarantee (Approximation ratio upper bound):**

- The DP-DBSCAN algorithm is  $(3+\eta, \tau)$ -accurate for

$$\tau = O((1 + \frac{8\sqrt{d}}{\eta})^d \cdot \frac{d}{\epsilon} \log \frac{d}{\alpha\beta})$$

- For constant  $d$  and  $\eta$ , this matches the lower bound

## Linear-Time Pure-DP Histogram

- A naive histogram over universe  $X$  takes  $O(|X|)$  time
- We simulate a histogram that is equivalent to keeping only noisy frequencies above  $\theta$  in a standard Laplace histogram:
  - For non-zero frequencies, add Laplace noise and keep if above  $\theta$
  - All the  $M$  zero-frequency entries share the same distribution:
    - Sample the number of non-zero entries  $m \sim \text{Bin}(M, p)$
    - Sample  $m$  entries without replacement  $J \subseteq X$
    - Sample  $m$  noises from the upper-tail of Laplace distribution

**Complexity and Utility Guarantee:**

- The histogram is  $\epsilon$ -DP
- With high probability, it can be built in  $O(n)$  time and space
- Its simultaneous error is  $O(\frac{1}{\epsilon} \log |X|)$  for any entry

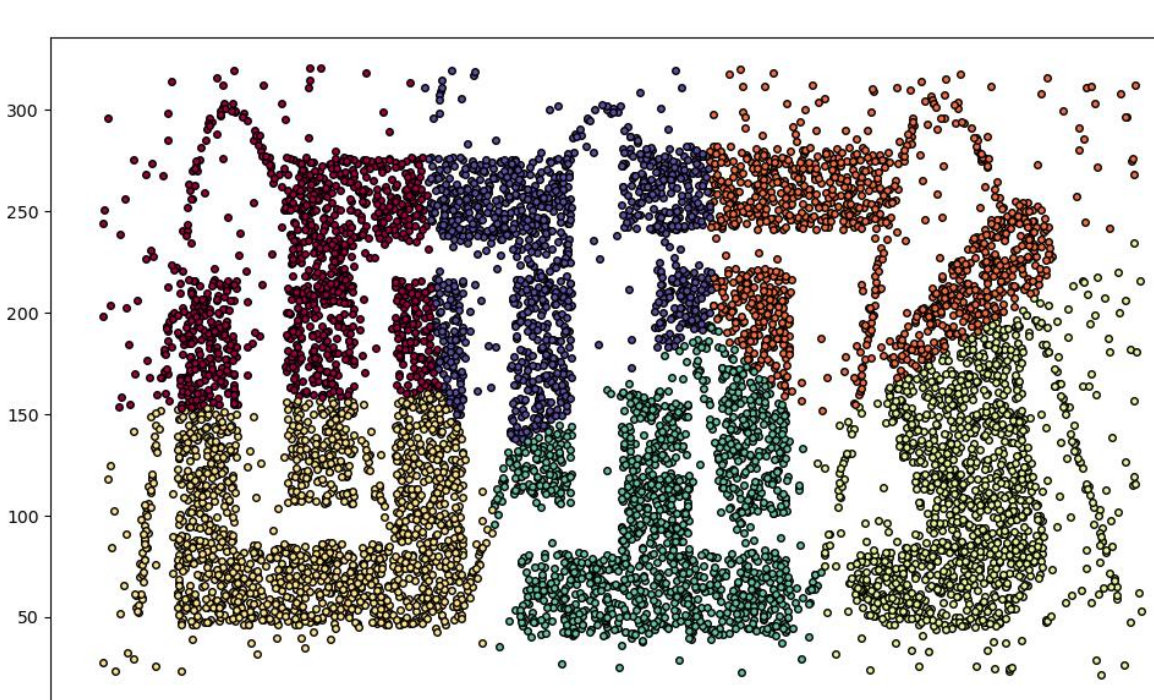
**Comparison with Stability-based Histogram [Balcer and Vadhan '19]**

- Both run in  $O(n)$  time
- Our histogram achieves pure-DP with error  $O(\frac{1}{\epsilon} \log |X|)$
- Existing work achieves approximate-DP with error  $O(\frac{1}{\epsilon} \log \frac{1}{\delta})$

## Experiments



DP-DBSCAN



DP-KMeans

		$\varepsilon = 1$		$\varepsilon = \infty$	
Dataset		DP-DBSCAN	DP-Kmeans	DBSCAN	Kmeans
ARI	Circles	<b>0.94</b>	0.00	<b>0.98</b>	0.00
	Moons	<b>0.99</b>	0.51	<b>1.00</b>	0.47
	Blobs	<b>0.81</b>	0.79	0.55	<b>0.89</b>
	Cluto-t4	<b>0.64</b>	0.47	<b>0.95</b>	0.50
	Cluto-t5	<b>0.93</b>	0.69	<b>0.96</b>	0.78
	Cluto-t7	<b>0.52</b>	0.32	<b>0.76</b>	0.33
	HAR70+	<b>0.40</b>	0.19	<b>0.57</b>	0.23
AMI	Circles	<b>0.92</b>	0.00	<b>0.96</b>	0.00
	Moons	<b>0.99</b>	0.41	<b>1.00</b>	0.37
	Blobs	<b>0.83</b>	0.79	0.66	<b>0.87</b>
	Cluto-t4	<b>0.74</b>	0.59	<b>0.92</b>	0.61
	Cluto-t5	<b>0.92</b>	0.77	<b>0.95</b>	0.82
	Cluto-t7	<b>0.63</b>	0.54	<b>0.82</b>	0.56
	HAR70+	<b>0.45</b>	0.43	<b>0.54</b>	0.48